

Twenty-year Follow-up of Kangaroo Mother Care Versus Traditional Care

Nathalie Charpak, MD,^a Rejean Tessier, PhD,^b Juan G. Ruiz, MD, MSc,^{c,d} Jose Tiberio Hernandez, PhD,^e Felipe Uriza, MD, MSc,^{e,d} Julieta Villegas, MD, MSc,^a Line Nadeau, PhD,^b Catherine Mercier, PhD,^b Francoise Maheu, PhD,^f Jorge Marin, MD,^g Darwin Cortes, PhD,^h Juan Miguel Gallego, PhD,^h Dario Maldonado, PhD^e

abstract

BACKGROUND AND OBJECTIVES: Kangaroo mother care (KMC) is a multifaceted intervention for preterm and low birth weight infants and their parents. Short- and mid-term benefits of KMC on survival, neurodevelopment, breastfeeding, and the quality of mother–infant bonding were documented in a randomized controlled trial (RCT) conducted in Colombia from 1993 to 1996. The aim of the present study was to evaluate the persistence of these results in young adulthood.

METHODS: From 2012 to 2014, a total of 494 (69%) of the 716 participants of the original RCT known to be alive were identified; 441 (62% of the participants in the original RCT) were re-enrolled, and results for the 264 participants weighing ≤ 1800 g at birth were analyzed. The KMC and control groups were compared for health status and neurologic, cognitive, and social functioning with the use of neuroimaging, neurophysiological, and behavioral tests.

RESULTS: The effects of KMC at 1 year on IQ and home environment were still present 20 years later in the most fragile individuals, and KMC parents were more protective and nurturing, reflected by reduced school absenteeism and reduced hyperactivity, aggressiveness, externalization, and socio-deviant conduct of young adults. Neuroimaging showed larger volume of the left caudate nucleus in the KMC group.

CONCLUSIONS: This study indicates that KMC had significant, long-lasting social and behavioral protective effects 20 years after the intervention. Coverage with this efficient and scientifically based health care intervention should be extended to the 18 million infants born each year who are candidates for the method.

FREE

^aFundación Canguro, Bogotá, Colombia; ^bUniversité Laval, Québec City, Québec, Canada; ^cPontificia Universidad Javeriana, Bogotá, Colombia; ^dHospital Universitario San Ignacio, Bogotá, Colombia; ^eUniversidad de los Andes, Bogotá, Colombia; ^fHôpital St Justine, Montréal, Québec, Canada; ^gHospital Universitario Infantil San José, Bogotá, Colombia; and ^hUniversidad del Rosario, Bogotá, Colombia

Dr Charpak (Principal Investigator) was responsible for generating the research protocol, processing of the original randomized controlled trial database, oversaw all data collection and analysis, was responsible for writing and revising the manuscript, contributed as a content expert, and was in charge of literature review and state of the art; Dr Tessier (Co-principal Investigator) was responsible for generating the research protocol, writing and revising the manuscript, oversaw specific data collection and analysis, contributed as a content expert, and was in charge of literature review and state of the art; Dr Ruiz (Co-principal Investigator) was responsible for generating the research protocol, data cleaning, writing and revising the manuscript, and contributed as a specific content expert; Dr Hernández (Co-principal Investigator) oversaw the treatment of neuroimages, data cleaning and analysis, revision of the manuscript, and contributed as a specific content expert; Dr Uriza (Co-principal Investigator) participated in the generation of the research protocol, revision of the manuscript, and contributed as content expert; Dr Villegas (Co-investigator) was responsible for processing of the original randomized controlled trial database, oversaw data analysis, was responsible for writing

WHAT'S KNOWN ON THIS SUBJECT: Kangaroo mother care (KMC) is an intervention for preterm and low birth weight infants. Short- and mid-term benefits of KMC on survival, neurodevelopment, and the quality of mother–infant bonding were documented in a randomized controlled trial in 1993–1996.

WHAT THIS STUDY ADDS: This study indicates that KMC had significant, long-lasting social and behavioral protective effects 20 years after the intervention in adolescence and young adulthood. Coverage with this efficient, scientifically based health care intervention should be extended.

To cite: Charpak N, Tessier R, Ruiz JG, et al. Twenty-year Follow-up of Kangaroo Mother Care Versus Traditional Care. *Pediatrics*. 2017;139(1):e20162063

Low birth weight (defined as weight <2500 g) was the direct or associated cause of death in 44% of the estimated 2 763 000 neonatal deaths worldwide in 2013.¹ According to the World Health Organization, 10% of all births worldwide are either low birth weight or premature (ie, birth at <37 weeks of gestational age). Preterm survivors more frequently exhibit neurologic and behavioral impairment,² and premature or low birth weight infants (LBWI) later have cognitive deficits, poor academic performance, or attention problems.³⁻⁵ At school age, they are less socially competent and more often victimized than their peers,⁶ and, in adolescence, they are more often socially rejected and less attentive.⁷

Kangaroo mother care (KMC) is a human-based technique with well-established short- and mid-term effectiveness and safety, suitable for use in all settings. It is based on 3 components: (1) kangaroo position (ie, continuous skin-to-skin contact between mother and infant), which provides appropriate thermal regulation, among other benefits; (2) exclusive breastfeeding when possible; and (3) timely (early) discharge with close follow-up. KMC was originally developed in Colombia as an outpatient alternative to a neonatal minimal care unit, in which infants remain in an incubator while they gain weight.⁸

In 1993 to 1996, our group conducted a randomized clinical trial (RCT) to compare the original KMC intervention and “traditional” inpatient care. The trial showed that morbidity, mortality, growth, development, and other selected health-related outcomes were at least as good as or better than those obtained with usual care when infants reached term and at 1-year corrected age (CA). The main short- and mid-term results have been reported in international, peer-reviewed journals.⁸⁻¹¹

The 2 main questions addressed in the present study were whether the documented 1-year benefits persisted up to 20 years and whether the KMC intervention had a long-term protective effect against cognitive, social, and academic difficulties in a randomized block of participants who had weighed <1800 g at birth.

METHODS

Population and Sample

We followed up a cohort of former LBWI who participated in the RCT on KMC 20 years previously. The participants were infants who weighed ≤ 2000 g at birth, survived the transition to extrauterine life, and were eligible for neonatal minimal care. They were randomly assigned to KMC or to the control group according to birth weight (≤ 1200 , 1201–1500, 1501–1800, and 1801–2000 g). The present study included the randomized sample of infants weighing ≤ 1800 g at birth, who comprised >90% preterm infants, to allow comparisons with other follow-up studies.

The study protocol was approved by the ethical committee of the Pontificia Universidad Javeriana and the Université Laval. Participants were informed and asked to sign a consent form. Anonymity of data was guaranteed.

Twenty years after enrollment, systematic efforts were made to contact and re-enroll all former LBWI known to be alive at 1 year CA. A survival cohort effect was anticipated, and more control infants than KMC infants died during the first year of follow-up, implying a possible imbalance of mortality and other potential confounders. To assess whether the expected imbalance between groups could bias comparisons in the re-enrolled cohort, a Rasch model¹² was fitted to estimate the overall degree of

vulnerability (fragility index) due to factors present before allocation. Fifteen unevenly distributed binary indicators were selected to represent injuries that might have occurred during pregnancy, birth, or the neonatal period before randomization (Table 1). The wide span of discrimination estimates confirmed use of a 2-parameter model, and the goodness-of-fit improved ($P < .001$). The index is based on individual factorial scores, on the assumption that a common latent variable measures the nonspecific personal fragility of an infant. The fragility indexes of the 2 groups were similar (Fig 1).

Between January 18, 2013, and December 26, 2014, the same social worker who coordinated the follow-up visits during the original RCT traced the participants using multiple sources of information and located 494 (69%) of the 716 participants in the original RCT known to be alive at 1 year. Of these, 3 had died after 1 year of age, 11 were living outside Bogotá, and 39 refused to participate. The 222 former participants who could not be located were presumed from their civil registry numbers to be alive. Of the original 433 infants who were randomized to treatment and weighed ≤ 1800 g at birth, 264 subjects (61% of the participants who weighed ≤ 1800 g at birth) agreed to participate and were re-enrolled (Tables 2 and 3).

Descriptive analyses confirmed that re-enrollment did not introduce bias in the distribution of variables in the overall population ($n = 433$) or in the re-enrolled sample ($n = 264$), with no significant differences in the main baseline demographic variables, potential confounders, or growth or development indices (Table 4). Some differences were no longer statistically significant in the re-enrolled cohort because of loss of statistical power due to attrition of the cohort.

Equally intense efforts were made to track KMC infants and control infants. Once the participating families were identified, telephone interviews were conducted to determine the vital status of the former LBWI and their availability and willingness to participate. A first appointment was then fixed.

General Procedures

Before measurements were made, all the participants were referred to an optometrist and a phonoaudiologist to ensure that they could participate in all tests; glasses were provided or adjusted, as needed. The main outcome variables at 20 years¹³⁻²⁴ are listed in Table 5. In the 3-day evaluation, each participant underwent a full medical examination and a battery of psychological and neuropsychological evaluations; neuroanatomical, functional, and neurophysiological assessments; and house visits, with collection of complete education and work histories.

The exposure was random allocation to KMC or traditional care 20 years previously. The potential confounders were parents' demographic characteristics, education, and socioeconomic status at the birth of the child, and children's antenatal and perinatal anthropometrics and general health at birth and during neonatal adaptation before eligibility.

Data Processing and Analysis

Data were recorded in a standard format both on paper and online. Categorical variables were compared by using χ^2 or Fisher's exact tests; numerical discrete and continuous variables were compared in parametric and nonparametric tests, as appropriate. Alpha *P* values <.05 were considered significant.

Bivariate analyses were conducted to compare the distribution of potential confounders and effect modifiers according to exposure and each outcome. Stratified and multivariate

TABLE 1 Parameters of the Fragility Index (2-Parameter Logistic Rasch Model) comprising Problematic Events During Pregnancy, Birth, or the Neonatal Period Before Randomization in the Original RCT Cohort

| Parameter | Difficulty ^a |
|---|-------------------------|
| Preterm | 1.8972291 |
| Intrauterine growth restriction | -0.5855773 |
| Intrauterine growth restriction and preterm | -1.2851473 |
| Gestational age at birth | -0.7523441 |
| Acute suffering at birth | 0.3160136 |
| Neonatal reanimation | -1.8858323 |
| Weight at birth <1501 g | -1.7757791 |
| Toxemia during pregnancy | -0.3618344 |
| Primiparous | -0.1795824 |
| Apgar score 5 min <7 | -0.6533428 |
| Male sex | -0.1965165 |
| Neonatal sepsis | -1.7442807 |
| Gestational age at randomization | -2.162849 |
| Nosocomial infection before randomization | -1.7062807 |
| Severe jaundice | -0.5502986 |

^a An easy indicator (negative value) is any observed fragility; a difficult indicator (positive value) is seen only at the most severe levels.

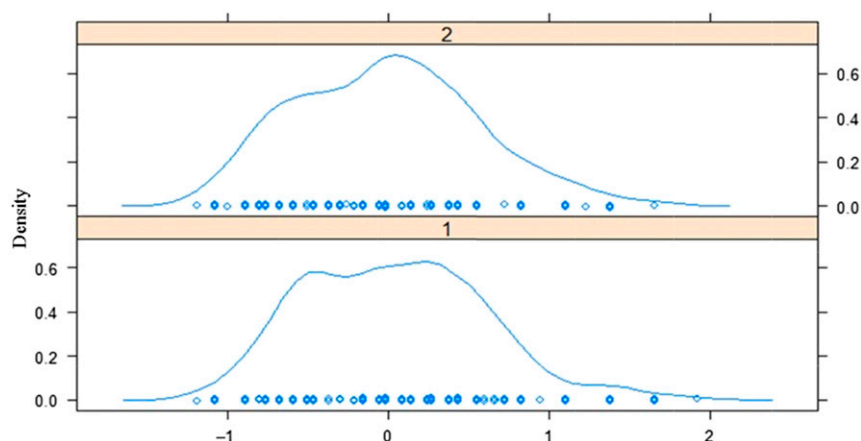


FIGURE 1 Fragility index before randomization in the re-enrolled cohort according to group. Wilcoxon rank sum test with continuity correction, *P* = .1968. Group 1: KMC; group 2: control.

TABLE 2 Path From Birth to 20 Years of the Re-enrolled Cohort (441 Participants)

| RCT Re-enrolled Sample | Total Cohort | KMC | Control |
|--|--------------|----------|----------|
| Newborns ≤2000 g (September 1993–September 1994) | 1084 (100) | | |
| Noneligible newborns | 338 (31) | | |
| Transferred to another clinic | 129 | | |
| Died before randomization | 157 (15) | | |
| Congenital malformation or neurologic pathology | 52 | | |
| Original RCT sample | 746 | 382 (51) | 364 (49) |
| Died during the first year | 30 | 11 | 19 |
| Survivors at 1 y of CA | 716 (96) | 371 (97) | 345 (95) |
| Not located at 20 y of age | 222 (31) | 119 (32) | 103 (30) |
| Located but not re-enrolled at 20 y of age | 53 (7) | 26 (7) | 29 (8) |
| Died between 1 and 20 y | 3 | 1 | 2 |
| Refused to participate (6 twins in each group) | 39 | 19 | 22 |
| Out of scope | 11 | 6 | 5 |
| RCT re-enrolled cohort at 20 y of age | 441 (62) | 228 (61) | 213 (62) |

Data are presented as *n* (%).

TABLE 3 Path From Birth to 20 Y of the Re-enrolled Cohort ≤ 1800 g at Birth (264 Participants)

| RCT Target Sample Re-enrolled for First Analysis and Neurologic Imaging | Total Cohort | KMC | Control |
|---|--------------|-----------|-----------|
| Original RCT ≤ 1800 g | 433 | 229 (53) | 204 (47) |
| Died during first year | 21 | 7 | 14 |
| Survivors at 1 y | 412 (95) | 222 (97) | 190 (93) |
| Not located at 20 y of age | 119 (29) | 68 (31) | 51 (27) |
| Located but not re-enrolled at 20 y of age | 29 (7) | 15 (7) | 14 (7) |
| Died between 1 and 20 y | 3 | 1 | 2 |
| Refused to participate (6 twins in each group) | 20 | 10 | 10 |
| Out of scope | 6 | 4 | 2 |
| RCT re-enrolled cohort (≤ 1800 g) at 20 y of age | 264 (64%) | 139 (63%) | 125 (66%) |
| Documented MRI in re-enrolled cohort | 213 (80%) | 115 (83%) | 98 (78%) |
| Interpretable MRI (no brackets, no movement) | 195 (74%) | 108 (78%) | 87 (70%) |

Data are presented as *n* (%).

analyses were conducted to assess confounding and interaction and to compute adjusted unbiased estimates of effect for each outcome variable. Analyses were conducted by using SPSS version 19 (IBM SPSS Statistics, IBM Corporation) and R version 3.02 (R Foundation for Statistical Computing, Vienna, Austria).

For an integrated view of individual and grouped multiple outcomes, we developed software that allows visualization of neuroimaging results with outcome according to potential independent variables.²⁵

RESULTS

Cumulative Mortality at 20 Years

Overall cumulative mortality after entry into the study was 24 (5.5%) of 433 (95% confidence interval [CI]: 3.4–7.7), with rates of 8 (3.5%) of 229 in the KMC group and 16 (7.7%) of 204 in the control group (odds ratio: 0.42 [95% CI: 0.18–1.02]; $P = .05$). After adjustment for weight and gestational age at birth, the protective effect of KMC against mortality was significant (odds ratio: 0.39 [95% CI: 0.16–0.94]; $P = .04$).

Overall IQ at 20 Years

No overall or specific differences in mean IQ scores were found between the KMC (87.5 ± 13.8) and control (88.4 ± 13.9) groups at 20 years. Measures at 6 months, 12 months, and 20 years, however, showed small but significant differences in the subgroup with transient neurologic examination results at 6 months' CA (used as a proxy for the fragility index in the original RCT), with higher scores for the KMC group (Table 6).

Table 7 displays the statistically significant links between modifications due to KMC in anthropometrics, maternal stress, and the Home Observation for Measurement of the Environment (HOME) test at 1 year CA and IQ at 20 years.

Overall Health Outcomes

The frequency of chronic conditions reported at interviews was similar in the 2 groups, except for hypothyroidism (6.5% in the KMC group, 0.8% in the control group)

TABLE 4 Comparison of the Re-enrolled Sample and the Overall Survivor Population (≤ 1800 g)

| Variable | 433 Survivors of the Original RCT | | <i>P</i> | Re-enrolled Sample (264 Young Adults) | | <i>P</i> |
|--|-----------------------------------|----------------------|----------|---------------------------------------|---------------------------|----------|
| | KMC | Control | | KMC (<i>n</i> = 139) | Control (<i>n</i> = 125) | |
| Multiple pregnancies, <i>n</i> (%) | 45 (19.8) | 28 (13.8) | .12 | 29 (20.9) | 15 (12.1) | .06 |
| Small for gestational age, <i>n</i> (%) | 18 (7.9) | 21 (10.3) | .40 | 11 (7.9) | 7 (5.6) | .48 |
| Gestational age at birth, median (min–max), wk | 33 (26–40) | 33 (26–40) | .47 | 34 (26–40) | 34 (27–40) | .80 |
| Gestational age at birth, <i>n</i> (%) | | | .68 | | | .77 |
| <32 wk | 114 (49.8) | 93 (45.6) | | 66 (47.5) | 56 (44.8) | |
| 33–34 wk | 60 (26.2) | 52 (25.5) | | 39 (28.1) | 37 (29.6) | |
| 35–36 wk | 37 (16.2) | 38 (18.6) | | 23 (16.5) | 25 (20.0) | |
| >37 wk | 18 (7.9) | 21 (10.3) | | 11 (7.9) | 7 (5.6) | |
| Female sex, <i>n</i> (%) | 111 (48.5) | 122 (59.8) | .02 | 72 (51.8) | 76 (60.8) | .17 |
| Days in neonatal care unit (including intensive care), median (min–max) | 16 (1–64) | 23 (1–68) | .00 | 15 (1–64) | 23 (1–57) | .00 |
| Admission to neonatal care unit (including intensive care), <i>n</i> (%) | 179 (78.2) | 140 (68.6) | .02 | 112 (80.6) | 88 (70.4) | .06 |
| Weight at eligibility, median (min–max), g | 1590 (930–2000) | 1575 (1090–2000) | .40 | 1575 (930–1980) | 1550 (1100–2000) | .06 |
| Age at eligibility, median (min–max), d | 10 (1–60) | 9 (1–55) | .59 | 9 (1–60) | 10 (1–53) | .87 |
| Days on minimal care after eligibility, median (min–max) | 4 (1–31) | 7 (1–47) | .00 | 4 (1–31) | 7 (1–33) | .00 |
| Weight at discharge, median (min–max), g | 1580 (1025–2000) | 1650 (1100–2550) | .00 | 1575 (1025–1900) | 1650 (1100–2000) | .00 |
| Fragility index | 0.22 (–1.25 to 1.81) | 0.18 (–1.25 to 1.55) | .37 | 0.30 (–0.88 to 1.55) | 0.17 (–1.25 to 1.30) | .69 |

P, two-tailed χ^2 test. Min–max, minimum–maximum.

TABLE 5 Outcomes Variables, Measures, and Descriptors for the Re-enrolled Sample (≤ 1800 g)

| Mortality and Morbidity at 20 Years | Reported by the Hospital or the family and From the National Civil Registry |
|---------------------------------------|---|
| General health at 20 y | Relevant medical history, diagnosed illnesses (including epilepsy, cancer, mental illness, chronic respiratory disease, and malnutrition), injuries, physical growth (height-for-age, weight-for-age, weight-for-height, and head circumference); BMI, lean body mass |
| Productivity, academic and labor data | Preschool and school history (years attended, school grades repeated, age at dropout, maximum level attained), school achievement and performance scores in Colombian national examination, labor force participation status, wages |
| Sensory motor status | Fine motor skills, ¹³ including visual motor integration ¹⁴ |
| Cognition | General intelligence (Wechsler abbreviated scale of intelligence), ¹⁵ memory (California verbal learning test), ¹⁶ attention (test of attentional performance) ¹⁷ |
| Social and emotional behavior | Behavioral and emotional problems (Conners, ¹⁸ ABCL ¹⁹), index of parent and peer attachment, ²⁰ self-esteem (Rosenberg test), ²¹ stress and mental state (life habits), depressive mood ^{22,23} |
| Family environment | HOME inventory ²⁴ |
| Sensorial acuity | Visual acuity: full optometric examination Auditory acuity: tonal audiometry |
| Neurophysiology and imaging | Transcranial magnetic stimulation, MRI, functional MRI with 5 paradigms, diffusion tensor imaging only in the target group (<1800 g at birth) and in the reference cohort |
| Metabolic profile | Blood glucose, lipids profile, thyroid-stimulating hormone, insulin, carotid artery intima thickness (in a stratified subsample of participants) |

(Table 8). Hypothyroidism was associated with birth via cesarean delivery ($P = .04$), admission to a NICU ($P = .03$), birth weight ≤ 1200 g ($P = .02$), and gestational age at birth ≤ 32 weeks ($P = .02$). Those with hypothyroidism tend to have a higher fragility index (0.82) than those without (0.17) ($P = .22$). Neurologic examinations identified cerebral palsy at the same rate in the 2 groups but with more motor functional deficit in the control group (38% vs 12% in the KMC group). Clinical diagnosis of short stature at 20 years was prevalent in both groups. Of participants with intrauterine growth restriction at birth (both preterm and term infants), 47% were short at 20 years, with no difference between groups.

Complete information on distant and near visual acuity was available for 259 participants: 137 of 139 in the KMC group and 122 of 125 in

TABLE 6 Repeated Measures of Developmental and Environmental Outcomes at 6 Months and 1 and 20 Years According to Neurologic Status at 6 Months in the Re-enrolled Sample (≤ 1800 g)

| Measure | KMC | | Control | | KMC Versus Control | Neurologic Status | Interaction Between Neurologic Status and Groups |
|---------------|-----------------|-----------------------|-----------------|-----------------------|--------------------|-------------------|--|
| | Normal | Transient or Abnormal | Normal | Transient or Abnormal | | | |
| IQ at 6 mo | 98.1 \pm 10.0 | 90.0 \pm 13.4 | 99.5 \pm 9.2 | 84.5 \pm 12.6 | .23 | .00 | .03 |
| IQ at 12 mo | 103.4 \pm 6.6 | 99.4 \pm 8.8 | 103.0 \pm 6.7 | 94.6 \pm 10.2 | | | |
| IQ at 20 y | 87.2 \pm 13.1 | 90.2 \pm 14.9 | 89.9 \pm 14.9 | 87.0 \pm 12.7 | | | |
| HOME at 12 mo | 39.3 \pm 6.8 | 39.9 \pm 5.5 | 39.7 \pm 7.5 | 35.5 \pm 8.0 | .11 | .12 | .02 |
| HOME at 20 y | 39.5 \pm 7.3 | 40.5 \pm 6.0 | 40.7 \pm 6.6 | 36.6 \pm 5.4 | | | |

Data are presented as mean \pm SD.

TABLE 7 Outcomes of the Intervention Observed at 12 Months of Corrected Age on IQ at 20 Years of Age in the Re-enrolled Sample (≤ 1800 g)

| Outcome at 1 y | IQ at 20 y | | P |
|---|------------------|------------------|-----|
| | IQ <90 | IQ ≥ 90 | |
| Factorial score ^a of weight during first year of CA | -0.16 \pm 0.96 | 0.01 \pm 0.89 | .01 |
| Factorial score ^a of height increase during first year of CA | -0.24 \pm 0.95 | 0.07 \pm 0.97 | .01 |
| Factorial score of head circumference during first year of CA | -0.12 \pm 0.95 | 0.15 \pm 0.98 | .03 |
| Head circumference at 1 y of CA per 50th percentile of expected head circumference for age and sex \times 100 | 97 \pm 3.13 | 98 \pm 2.72 | .01 |
| Factorial score ^a of maternal feeling of stress | | | |
| At 41 wk | 0.12 \pm 0.93 | -0.14 \pm 1.15 | .04 |
| At 1 y of CA | -0.13 \pm 0.90 | 0.28 \pm 0.99 | .00 |
| HOME test at 1 y of CA | | | |
| All 5 subscales | 37.5 \pm 6.24 | 40.4 \pm 5.32 | .00 |
| Family cognitive stimulation subscale | 4.4 \pm 2.34 | 5.6 \pm 2.46 | .00 |
| Structured environment subscale | 5.5 \pm 1.49 | 5.8 \pm 1.26 | .02 |

Data are presented as mean \pm SD.

^a Factorial score of weight, height, and head circumference at 40 weeks' CA and 3, 6, 9, and 12 months' CA.

TABLE 8 Social and Chronic Health Conditions in 264 KMC and Control Re-enrolled Participants (≤ 1800 g)

| Condition | KMC ($n = 139$) | Control ($n = 125$) | <i>P</i> |
|--|-------------------|-----------------------|----------|
| Living with parents | 134 (96.4) | 110 (88.0) | .01 |
| Original family | 97 (69.8) | 84 (67.2) | .37 |
| Victim of direct violence at school | 36 (25.9) | 44(35.2) | .07 |
| Working | 59 (42.8) | 41 (32.8) | .06 |
| In a relationship | 61 (43.9) | 54 (43.2) | .51 |
| Repeated at least 1 y of school | 59 (42.4) | 47 (38.2) | .28 |
| Asthma | 15 (10.8) | 11 (8.8) | .37 |
| Epilepsy | 6 (4.3) | 5 (4.0) | .57 |
| Frequent accidents (frequent falls) | 27 (19.7) | 23 (18.4) | .46 |
| Endocrine system alteration | 5 (3.6) | 5 (4.0) | .56 |
| Hypothyroidism | 9 (6.5) | 1 (0.8) | .01 |
| Precocious puberty | 2 (2.2) | 0 | .14 |
| Short stature | 42 (30.2) | 36 (28.8) | .45 |
| Learning disability | 31 (23.0) | 28 (22.4) | .55 |
| Mental illness | 22 (15.8) | 18 (14.4) | .44 |
| Physical therapy required | 24(14.5) | 14 (11.4) | .12 |
| Language therapy required | 20 (17.3) | 27 (22.0) | .08 |
| Altered neurologic examination | 25 (18) | 21 (16.8) | .46 |
| Severity of neuromotor abnormality at 20 y | | | .04 |
| With disability | 3 (12) | 8 (38.1) | |
| Without disability | 22 (88) | 13 (61.9) | |

Data are presented as *n* (%).

TABLE 9 Academic Studies and Productivity of 264 KMC and Control Re-enrolled Participants ≤ 1800 g

| Variable | KMC ($n = 139$) | Control ($n = 125$) | Difference | <i>P</i> |
|-----------------------------------|-------------------|-----------------------|------------------|----------|
| Years of preschool | 2.52 \pm 1.07 | 2.05 \pm 1.04 | 0.47 \pm 0.14 | .00 |
| School absenteeism | 0.07 \pm 0.26 | 0.17 \pm 0.37 | -0.09 \pm 0.04 | .01 |
| Years of school | 11.31 \pm 1.34 | 11.50 \pm 1.61 | -0.19 \pm 0.18 | .15 |
| School quality, mathematics score | 48.22 \pm 4.72 | 48.38 \pm 4.26 | -0.16 \pm 0.65 | .40 |
| Standardized mathematics score | -0.17 \pm 0.99 | 0.17 \pm 1.02 | -0.35 \pm 0.14 | .01 |
| Standardized language score | -0.12 \pm 0.89 | 0.13 \pm 0.85 | -0.26 \pm 0.13 | .02 |
| Wage per hour | 4.77 \pm 6.65 | 3.13 \pm 2.29 | 1.65 \pm 0.78 | .02 |

Data are presented as mean \pm SD. School absenteeism is a dummy variable that takes value 1 if the individual has temporarily dropped out of primary or secondary school. School quality is the school average in the nationally standardized test score in mathematics. Wage per hour is given in thousand Colombian pesos; 1000 pesos is equivalent to US\$ 0.40.

the control group. In optometrics, 13 (9.5%) of 137 participants in the KMC group and 6 (4.9%) of 122 in the control group had poor bilateral visual acuity ($P = .12$). Complete data from the auditory evaluation were available for 264 participants. Eight (4 in each group) patients had external hearing aids, and 1 (in the KMC group) had a cochlear implant; 9.8% of the cohort had a unilateral or bilateral hearing deficit (neurosensory or conductive lesion).

Schooling, Productivity, Academic Record, and Work History

The KMC group had more years of preschool ($P = .00$), but children had the same number of years of schooling and the same age at completion. Fewer members of the

KMC group had been temporarily absent from school ($P = .01$), and they had higher average hourly wages ($P = .01$) (Table 9).

The control group had a significantly higher score on "language" in the Colombian national examination ($P = .02$), and they received more language therapy (21% vs 14.5% in the KMC group) during childhood than the KMC group. The mathematics scores differed significantly between the 2 groups ($P = .01$); they were lower in the KMC cohort, especially among boys born at ≤ 32 weeks' gestational age. Nevertheless, of the children who had severe bilateral neurosensory disorders who passed the national examination, 6 were in the KMC group (67%) and 3 in the control group (33%).

Family Environment and Social Behavior

More KMC children in the cohort lived with their parents ($P = .01$). We constructed a variable to evaluate paternal support that includes all aspects of the father's participation in the care of the infant during the first year of follow-up. This variable had a positive impact on the home environment at 1 year CA.¹¹ Paternal support in the re-enrolled sample was the same in the 2 groups, but the impact depended on whether the father had carried the infant in the kangaroo position during the neonatal period. Three of the HOME inventory subscales (family companionship, regulatory activity, and learning material at 20 years) were significantly higher in the group in which the

TABLE 10 Social Behavior Outcomes (ABCL and Conners Test) at 20 Years According to Parents (Sample, ≤ 1800 g)

| Mother's Level of Education | KMC, Mean \pm SD | | Controls, Mean \pm SD | | <i>P</i> | | |
|-----------------------------|--------------------|-------------|-------------------------|-------------|---------------------|-----------------------------|--|
| | Low | Higher | Low | Higher | KMC Versus Controls | Mother's Level of Education | Interaction Between Mother's Level of Education and Intervention |
| Conners hyperactivity | 62 \pm 10 | 65 \pm 15 | 74 \pm 14 | 60 \pm 14 | .15 | .01 | .00 |
| Conners aggressivity | 54 \pm 12 | 54 \pm 11 | 64 \pm 15 | 53 \pm 11 | .03 | .00 | .00 |
| ABCL DSM antisocial | 69 \pm 16 | 71 \pm 14 | 78 \pm 14 | 68 \pm 16 | .29 | .09 | .02 |
| ABCL DSM internalization | 72 \pm 26 | 74 \pm 24 | 82 \pm 16 | 74 \pm 22 | .23 | .42 | .18 |
| ABCL DSM externalization | 63 \pm 24 | 64 \pm 22 | 79 \pm 16 | 62 \pm 23 | .09 | .03 | .00 |

TABLE 11 Variables Associated With Left Caudate Nucleus Volume at 20 Years (Sample ≤ 1800 g)

| Time | Variable | Slope | <i>P</i> |
|---------------------|-------------------------------|-------|----------|
| Before intervention | Fragility index | -0.29 | .00 |
| During intervention | Duration of kangaroo position | 0.25 | .00 |
| At 20 y | 9-hole peg test | -0.18 | .01 |

Results of linear regression ($r^2 = 0.16$, $F(3,17) = 12.21$, $P = .00$, calculated with Braviz software.²⁵

father had carried the infant in the kangaroo position, with a clear relation between paternal support at 1 year and the stability of the family 20 years later (score for paternal support in families without separated parents: 15.3 vs 14.6 for separated families, $P = .01$).

After control for the father's support, the mean total HOME score at 12 months' CA was 0.590 for the KMC group and -0.235 for the control group, indicating a clear advantage for children in KMC families. Moreover, the 12-month HOME score was clearly related to the score at 20 years ($\beta = 0.302$). Thus, independently of paternal support, the families of KMC children were more stimulating and protective at 12 months, up to 20 years. The scores at 12 months and 20 years of the subgroup with transient neurologic status at 6 months' CA were significantly higher in the KMC group (Table 6). We concluded that KMC families were more dedicated to their children and that the effect is permanent.

We could not directly link children's behavior to the family environment. However, the Conners' scores for aggressiveness and hyperactivity and for externalization in the Adult Behavior Checklist (ABCL) test were consistently lower in the KMC group,

particularly for less well-educated mothers, and these children were perceived as having less antisocial behavior (Diagnostic and Statistical Manual of Mental Disorders [DSM]) than controls (Table 10).

Neuroimaging Results

KMC participants who weighed ≤ 1800 g ($n = 264$) at birth and had good-quality nuclear magnetic resonance ($n = 195$) had significantly larger cerebral volumes of total gray matter, cerebral cortex, and left caudate nucleus than control participants (Table 11). In a linear regression analysis, the volume of the left caudate nucleus was clearly related to the fragility index at birth (the lower the fragility index, the larger the volume), duration in the kangaroo position (the longer in the position, the larger the volume), and the result of the fine motor skills test (the better the performance, the larger the volume) at 20 years.

DISCUSSION

Numerous data were collected in this long-term follow-up study 20 years after the initial RCT, and only notable overall group differences are presented here; others will be explored later.

The KMC group had slightly less severe abnormal neurologic results than the

control group, but we cannot separate the effects of stimulation by the family from a functional or anatomic effect of the intervention on the brain. The predictive role of head circumference at the end of the first year has been described elsewhere^{26,27} and is reflected in Table 7, which also shows its association with IQ at 20 years.

Sensorial (visual and hearing) and motor (cerebral palsy) morbidity was comparable between the groups at 20 years, indicating that KMC did not protect children from these conditions, as expected. Our evaluation of audition and visual performance before application of the battery of neuropsychological tests showed that as much as 56% of the cohort needed glasses, and 6.9% had bilateral severe hearing loss. It is difficult to find normal values for these neurosensory sequelae, as they are described only for very LBWI in the literature. Severe neonatal jaundice, ototoxic drugs, neonatal hypoxia, and environmental noise in neonatal intensive care are all risk factors for neurosensory hearing loss in these children, who were hospitalized in a neonatal unit in a developing country in 1990. In both groups, the reduced visual acuity was mainly myopia and myopic astigmatism related to regressive and nonregressive retinopathy of prematurity and other factors.^{28,29}

The differences in school achievement between KMC infants and control infants, for both mathematics and language, are difficult to explain. The academic difficulties of the KMC

children resemble those of premature cohorts described elsewhere.³⁰ Very LBWI (<1500 g) had more difficulty in mathematics, independently of their IQ; the lower scores in the KMC group were confined to the most immature children, who were more numerous in this group than in the control group. Lower IQ is regularly found in preterm infants, mainly in very preterm or LBWI.^{31,32} A large meta-analysis indicated that the effect lasted up to 20 years.³ Our study indicates, however, a smaller effect in the KMC group, particularly for those who were more fragile during the first year.

At 20 years, the young ex-KMC participants, especially in the poorest families, had less aggressive drive and were less impulsive and hyperactive. They exhibited less antisocial behavior, which might be associated with separation from the mother at birth.³³ KMC may change the behavior of less well-educated mothers by increasing their sensitivity to the needs of their children, thus making them equivalent to mothers in more favorable environments.

One of our hypotheses was that changes induced by the KMC intervention measured at 1 year are sustained by anatomic or functional changes in the immature brain during the neonatal period. Thus, KMC might allow better maturation of brain tissues and pathways. Studies of brain volume and development in preterm children have shown that premature transition from the intrauterine to the extrauterine environment can reduce the volume of selected cerebral regions, particularly motor regions such as the caudate nucleus.³⁴ The difference between groups in the volume of the left caudate nucleus is specific, because the periventricular location of this structure makes it sensitive to prematurity. Our KMC cohort of vulnerable survivors might have undergone compensation or plasticity, helping them to increase the volume of this brain structure.

Daily activities in the home environment have the most direct long-term influence on child development.³⁵ Family changes are an obvious effect of KMC, which appears to reduce contextual disparities and increases the chance that a child will be stimulated and exposed to a wide variety of experiences. KMC seems to motivate families to become more child-oriented. KMC mothers take their children to preschool earlier and provide support, as reflected in a lower rate of school dropout. Fathers' participation has long been recognized as highly positive in infants' social and cognitive growth.³⁶ KMC promotes paternal involvement in neonatal care, which affects not only the family structure but also the environment in which the child grows up. In this long-term study, fathers' involvement changed the young adults' cognitive capacity.

CONCLUSIONS

As neonatal technology becomes more accessible worldwide, more immature infants are saved, with fewer severe sequelae; therefore, the detection of "minor" sequelae becomes important. Such minor effects include mild cognitive deficits, lack of fine coordination, poor hearing, myopia, or attention deficit can affect the lives of families but often go undetected, especially in developing countries. Our long-term findings should support the decision to introduce KMC to reduce medical and psychological disorders attributable to prematurity and LBW. Bogotá's KMC program was first designed for use in stabilized infants, who usually remain in a neonatal minimal care unit. This period is key for brain maturation and early attachment relationship. We suggest that both biology and environment together might modulate a powerful developmental path for these children, impacting until adult age. Introduction of KMC immediately

after neonatal intensive care, without other developmental programs, motivates families to become more child-oriented and shortens this suboptimal period. We hypothesize that the results would be even more significant if KMC was introduced as soon as the infant could tolerate it, even in ICUs.

This new knowledge must be used to extend KMC coverage to the 18 million preterm and LBWI born each year,³⁷ who are candidates for KMC. We firmly believe that this is a powerful, efficient, scientifically based health intervention that can be used in all settings.

ACKNOWLEDGMENTS

We thank the research team for their work during the 2 years of re-collecting data, especially S. Teillaud, coordinator of the study. Without their commitment, we would have been unable to follow up the cohort 20 years after our initial RCT. We thank The World Laboratory for supporting our first RCT. We thank Dr J. Leblond, statistician at CIRRIIS, University of Laval, who listened to us and became thoroughly involved in analyzing the results. Without his assistance and understanding, this article would never have been written. We also thank the participants and their families for their collaboration in the study.

ABBREVIATIONS

ABCL: Adult Behavior Checklist
CA: corrected age
CI: confidence interval
DSM: Diagnostic and Statistical Manual of Mental Disorders
HOME: Home Observation for Measurement of the Environment
KMC: kangaroo mother care
LBWI: low birth weight infant
RCT: randomized controlled trial

and revising the manuscript, contributed as a content expert, and was in charge of literature review and state of the art; Dr Nadeau (Co-principal Investigator) oversaw specific data collection and analysis, revision of the manuscript, and contributed as a specific content expert; Dr Mercier (Co-principal Investigator) oversaw specific TMS data collection, data cleaning and analysis, revision of the manuscript, and contributed as a specific content expert; Dr Maheu (Co-principal Investigator) oversaw specific neuroimaging data collection (functional MRI), data cleaning and analysis, revision of the manuscript, and contributed as a specific content expert; Dr Marin (Co-principal Investigator) oversaw specific neuroimage data cleaning and analysis (MRI and diffusion tensor imaging), revision of the manuscript, and contributed as a specific content expert; and Drs Cortes, Gallego, and Maldonado (Co-principal Investigators) were responsible for generating a specific research protocol in reference to the social economics aspects of the paper, oversaw specific data collection and analysis, were responsible for writing and revising the manuscript, and contributed as content experts; and all authors approved the final manuscript.

DOI: 10.1542/peds.2016-2063

Accepted for publication Oct 13, 2016

Address correspondence to Nathalie Charpak, MD, Fundación Canguro, Calle 44b No. 53-50. Bogotá, Colombia. E-mail: ncharpak@gmail.com

PEDIATRICS (ISSN Numbers: Print, 0031-4005; Online, 1098-4275).

Copyright © 2017 by the American Academy of Pediatrics

FINANCIAL DISCLOSURE: The authors have indicated they have no financial relationships relevant to this article to disclose.

FUNDING: Supported by Grand Challenges Canada and the Administrative Department of Science, Technology and Innovation (COLCIENCIAS), Colombia.

POTENTIAL CONFLICT OF INTEREST: The authors have indicated they have no potential conflicts of interest to disclose.

COMPANION PAPER: A companion to this article can be found online at www.pediatrics.org/cgi/doi/10.1542/peds.2016-3332.

REFERENCES

1. UN Inter-agency Group for Child Mortality Estimation. *Levels & Trends in Child Mortality*. New York, NY: UNICEF; 2014
2. Blencowe H, Lee AC, Cousens S, et al. Preterm birth-associated neurodevelopmental impairment estimates at regional and global levels for 2010. *Pediatr Res*. 2013;74(suppl 1):17–34
3. Aylward GP. Neurodevelopmental outcomes of infants born prematurely. *J Dev Behav Pediatr*. 2005;26(6):427–440
4. Aylward GP, Pfeiffer SI, Wright A, Verhulst SJ. Outcome studies of low birth weight infants published in the last decade: a metaanalysis. *J Pediatr*. 1989;115(4):515–520
5. Aarnoudse-Moens CS, Weisglas-Kuperus N, van Goudoever JB, Oosterlaan J. Meta-analysis of neurobehavioral outcomes in very preterm and/or very low birth weight children. *Pediatrics*. 2009;124(2):717–728
6. Nadeau L, Tessier R, Lefebvre F, Robaey P. Victimization: a newly recognized outcome of prematurity. *Dev Med Child Neurol*. 2004;46(8):508–513
7. Breeman LD, Jaekel J, Baumann N, Bartmann P, Wolke D. Attention problems in very preterm children from childhood to adulthood: the Bavarian Longitudinal Study. *J Child Psychol Psychiatry*. 2016;57(2):132–140
8. Charpak N, Ruiz-Peláez JG, Figueroa de CZ, Charpak Y. A randomized, controlled trial of kangaroo mother care: results of follow-up at 1 year of corrected age. *Pediatrics*. 2001;108(5):1072–1079
9. Tessier R, Cristo M, Velez S, et al. Kangaroo mother care and the bonding hypothesis. *Pediatrics*. 1998;102(2). Available at: www.pediatrics.org/cgi/content/full/102/2/e17
10. Tessier R, Cristo M, Velez S, et al. Kangaroo Mother Care: A method for protecting high-risk low-birth-weight and premature infants against developmental delay. *Infant Behav Dev*. 2003;26(3):384–397
11. Tessier R, Charpak N, Girón M, Cristo M, de Calume ZF, Ruiz-Peláez JG. Kangaroo mother care, home environment and father involvement in the first year of life: a randomized controlled study. *Acta Paediatr*. 2009;98(9):1444–1450
12. Rizopoulos D. Irm: An R package for latent variable modeling and item response theory analyses. Journal of statistical software. *J Stat Softw*. 2006;17(5):1–25
13. Mathiowetz V, Kashman N, Volland G, Weber K, Dowe M, Rogers S. Grip and pinch strength: normative data for adults. *Arch Phys Med Rehabil*. 1985;66(2):69–74
14. Sortor JM, Kulp MT. Are the results of the Beery-Buktenica Developmental Test of Visual-Motor Integration and its subtests related to achievement test scores? *Optom Vis Sci*. 2003;80(11):758–763
15. Weschler D. *Wechsler Abbreviated Scale of Intelligence*. San Antonio, TX: The Psychological Corporation; 1999
16. Donders J. A confirmatory factor analysis of the California Verbal Learning Test-Second Edition (CVLT-II) in the standardization sample. *Assessment*. 2008;15(2):123–131
17. Catale C, Marique P, Closset A, Meulemans T. Attentional and executive functioning following mild traumatic brain injury in children using the test for attentional performance (TAP) battery. *J Clin Exp Neuropsychol*. 2009;31(3):331–338
18. Conners CK. *Conners' Continuous Performance Test II (CPT II V. 5)*. North Tonawanda, NY: Multi-health Systems Inc; 2000
19. Achenbach TM, Rescorla L. *An Integrated System of Multi-informant Assessment—School-age Forms and Profiles*. Washington, DC: Library of Congress; 2001
20. Pardo M, Pineda S, Carrillo S, Castro J. Análisis psicométrico del inventario de apego con padres y pares en una

- muestra de adolescentes colombianos. *Interam J Psychol.* 2006;40(3): 289–302
21. Schmitt DP, Allik J. Simultaneous administration of the Rosenberg Self-Esteem Scale in 53 nations: exploring the universal and culture-specific features of global self-esteem. *J Pers Soc Psychol.* 2005;89(4):623–642
 22. Spielberg C, Carretero-Dios H, de los Santos-Roig M, Buela-Casal G. Spanish experimental version of the State-Trait Depression Questionnaire (ST-DEP: Trait sub-scale (T-DEP)). *Int J Clin Health Psychol.* 2002;2(1):51–69
 23. Radloff LS. The CES-D scale: a self-report depression scale for research in the general population. *Appl Psychol Meas.* 1977;1(3):385–401
 24. Caldwell BM, Bradley RH. *Home Observation for Measurement of Environment: Administration Manual.* Tempe, AZ: Family & Human Dynamics Research Institute, Arizona State University; 2003
 25. Angulo D. *A Multi-faceted Visual Analytics Tool for Exploratory Analysis of Human Brain on Function Datasets.* Bogotá, Colombia: Universidad de los Andes; 2015
 26. Rollins JD, Collins JS, Holden KR. United States head circumference growth reference charts: birth to 21 years. *J Pediatr.* 2010;156(6):907–913, 913.e1–913.e2
 27. García-Alix A, Sáenz-de Pipaón M, Martínez M, Salas-Hernández S, Quero J. Ability of neonatal head circumference to predict long-term neurodevelopmental outcome [in Spanish]. *Rev Neurol.* 2004;39(6):548–554
 28. Holmström M, el Azazi M, Kugelberg U. Ophthalmological long-term follow up of preterm infants: a population based, prospective study of the refraction and its development. *Br J Ophthalmol.* 1998;82(11): 1265–1271
 29. Hille ET, Weisglas-Kuperus N, van Goudoever JB, et al; Dutch Collaborative POPS 19 Study Group. Functional outcomes and participation in young adulthood for very preterm and very low birth weight infants: the Dutch Project on Preterm and Small for Gestational Age Infants at 19 years of age. *Pediatrics.* 2007;120(3). Available at: www.pediatrics.org/cgi/content/full/120/3/e587
 30. Aarnoudse-Moens CS, Weisglas-Kuperus N, Duivenvoorden HJ, van Goudoever JB, Oosterlaan J. Executive function and IQ predict mathematical and attention problems in very preterm children. *PLoS One.* 2013;8(2):e55994
 31. Barón IS, Erickson K, Ahronovich MD, Baker R, Litman FR. Cognitive deficit in preschoolers born late-preterm. *Early Hum Dev.* 2011;87(2):115–119
 32. Bhutta AT, Cleves MA, Casey PH, Cradock MM, Anand KJ. Cognitive and behavioral outcomes of school-aged children who were born preterm: a meta-analysis. *JAMA.* 2002;288(6):728–737
 33. Tarabulsky GM, Pascuzzo K, Moss E, et al. Attachment-based intervention for maltreating families. *Am J Orthopsychiatry.* 2008;78(3):322–332
 34. Nosarti C, Murray RM, Hack M. *Neurodevelopmental Outcomes of Preterm Birth.* Cambridge, UK: Cambridge University Press; 2010
 35. Treyvaud K, Inder TE, Lee KJ, Northam EA, Doyle LW, Anderson PJ. Can the home environment promote resilience for children born very preterm in the context of social and medical risk? *J Exp Child Psychol.* 2012;112(3):326–337
 36. Cabrera NJ, Tamis-LeMonda CS, Bradley RH, Hofferth S, Lamb ME. Fatherhood in the twenty-first century. *Child Dev.* 2000;71(1):127–136
 37. Howson CP, Kinney MV, McDougall L, Lawn JE; Born Too Soon Preterm Birth Action Group. Born too soon: preterm birth matters. *Reprod Health.* 2013;10(suppl 1):S1

Twenty-year Follow-up of Kangaroo Mother Care Versus Traditional Care

Nathalie Charpak, Rejean Tessier, Juan G. Ruiz, Jose Tiberio Hernandez, Felipe Uriza, Julieta Villegas, Line Nadeau, Catherine Mercier, Francoise Maheu, Jorge Marin, Darwin Cortes, Juan Miguel Gallego and Dario Maldonado

Pediatrics originally published online December 12, 2016;

Updated Information & Services

including high resolution figures, can be found at:
<http://pediatrics.aappublications.org/content/early/2016/12/08/peds.2016-2063>

References

This article cites 30 articles, 5 of which you can access for free at:
<http://pediatrics.aappublications.org/content/early/2016/12/08/peds.2016-2063#BIBL>

Subspecialty Collections

This article, along with others on similar topics, appears in the following collection(s):

Fetus/Newborn Infant

http://www.aappublications.org/cgi/collection/fetus:newborn_infant_sub

Neonatology

http://www.aappublications.org/cgi/collection/neonatology_sub

Public Health

http://www.aappublications.org/cgi/collection/public_health_sub

Permissions & Licensing

Information about reproducing this article in parts (figures, tables) or in its entirety can be found online at:

<http://www.aappublications.org/site/misc/Permissions.xhtml>

Reprints

Information about ordering reprints can be found online:

<http://www.aappublications.org/site/misc/reprints.xhtml>

American Academy of Pediatrics

DEDICATED TO THE HEALTH OF ALL CHILDREN™



PEDIATRICS®

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

Twenty-year Follow-up of Kangaroo Mother Care Versus Traditional Care

Nathalie Charpak, Rejean Tessier, Juan G. Ruiz, Jose Tiberio Hernandez, Felipe Uriza, Julieta Villegas, Line Nadeau, Catherine Mercier, Francoise Maheu, Jorge Marin, Darwin Cortes, Juan Miguel Gallego and Dario Maldonado

Pediatrics originally published online December 12, 2016;

The online version of this article, along with updated information and services, is located on the World Wide Web at:

<http://pediatrics.aappublications.org/content/early/2016/12/08/peds.2016-2063>

Pediatrics is the official journal of the American Academy of Pediatrics. A monthly publication, it has been published continuously since 1948. Pediatrics is owned, published, and trademarked by the American Academy of Pediatrics, 141 Northwest Point Boulevard, Elk Grove Village, Illinois, 60007. Copyright © 2016 by the American Academy of Pediatrics. All rights reserved. Print ISSN: 1073-0397.

American Academy of Pediatrics

DEDICATED TO THE HEALTH OF ALL CHILDREN™

